

Near-extremal charged and rotating black holes in 3+1d de Sitter space

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Based on [2212.14356](#) with A. Castro & C. Toldo and on work in progress with C. Toldo



Outline & Motivations

- ▶ (Near-)Extremal black holes in de Sitter spacetime
- ▶ Study deviations away from extremality for RNdS₄ and Kerr-dS₄ BHs
- ▶ Characterise the differences between BHs in de Sitter ($\Lambda > 0$) versus AdS ($\Lambda < 0$) and Minkowski ($\Lambda = 0$)
→ more extremal limits & richer phase space of solutions
- ▶ Charged and rotating dS BH; dimensional reduction and gravitational perturbations. Are they described by JT?
- ▶ Review of Reissner-Nordström dS and presentation of Kerr-dS

De Sitter black holes

Solutions of Einstein's equations with a positive Cosmological Constant ($\Lambda > 0$).

Presence of Λ has qualitative and quantitative repercussions on our understanding of black holes:

- ▶ adds a **cosmological horizon**, r_c
- ▶ Thermodynamics at the cosmological horizon [’77 Gibbons, Hawking][’22 Banihashemi, Jacobson, Svesko, Visser]

$$dM = -T_c dS + \Phi_c dQ + \Omega_c dJ$$

→ to what extent can we treat the cosmological horizon as a thermal entity?

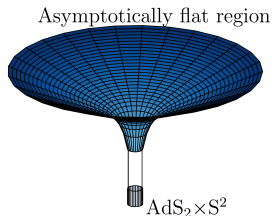
- ▶ New (near-)extremal limits & near horizon geometries

BHs suffer modifications due to the surroundings, de Sitter BHs ideal lab to explore and quantify these differences.

(Near-)Extremality

Extremality: two or three horizons coincide

- ▶ Temperature at the extremal horizon vanishes
 $\rightarrow T_{r_h} = 0$
- ▶ in Minkowski, Extremal BHs have minimum value of mass, given a fixed value of charge:
 $M = Q$
- ▶ Geometry develops a throat, near horizon region completely decouples from far away region:
AdS₂ factor NH geometry \Rightarrow enhancement of symmetry



Near-extremality: the horizons are slightly separated from each other

- ▶ The system acquires a little temperature: $T_{r_h} \neq 0$
- ▶ Mass increases, $\delta M \sim T_{r_h}^2$, $\delta S \sim T_{r_h}$
- ▶ Finite distance separates the NH region from the far away region

Reissner-Nordström black holes in de Sitter

Charged BHs with spherical symmetry:

$$S = \frac{1}{16\pi G} \int d^4x \sqrt{-g} (R - 2\Lambda - F_{\mu\nu}F^{\mu\nu}),$$

$$ds^2 = -V(r)dt^2 + \frac{dr^2}{V(r)} + r^2 d\Omega_2^2, \quad V(r) = 1 - \frac{2M}{r} + \frac{Q^2}{r^2} - \frac{\Lambda}{3}r^2$$

- ▶ Three horizons as solutions of $V(r) = 0$ at $r = \{r_-, r_+, r_c\}$

RNdS₄

↓ **Decoupling limit**

- ▶ Three different extremal limits: **Cold**, **Nariai**, **Ultracold**
- ▶ Near horizon geometries are of the form $\mathcal{M}_2 \times S^2$

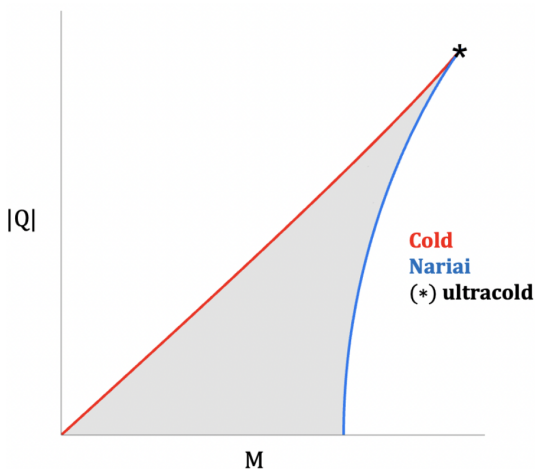
$$\mathcal{M}_2 = \{\text{AdS}_2, \text{dS}_2, \text{Mink}_2\}$$

→ We build the **effective gravitational theory on S^2**

[²² A. Castro, FM, C. Toldo]

Phase space of RNdS_4

- ▶ Main difference with AdS and Minkowski BHs: finite region of admitted physical solutions & naked singularities outside of it



Thermodynamics of RNdS₄

- ▶ Thermodynamics of Cold and Nariai at fixed charge, $\delta Q = 0$:

$$T_+ \sim \mathcal{O}(\lambda), \quad M = M_{ext,c} + \frac{T_+^2}{M_{gap}} + \dots, \quad S_+ = S_c + \frac{2T_+}{M_{gap}}, \quad M_{gap}^{cold} > 0$$

$$T_c \sim \mathcal{O}(\lambda), \quad M = M_{ext,n} + \frac{T_c^2}{M_{gap}} + \dots, \quad S_c = S_n - \frac{2T_c}{M_{gap}}, \quad M_{gap}^{Nariai} < 0$$

- ▶ Thermodynamics of Ultracold is different and present some subtleties

$$\delta Q \neq 0, \quad \delta S_c \sim \delta \Phi_c$$

Change in entropy driven by a change in chemical potential rather than a change in temperature \rightarrow infinite specific heat!

$$C_S^{-1} = \frac{1}{T} \left(\frac{dT}{dS} \right) \Big|_{Q=const}$$

\rightarrow reminiscent of flat 2D gravity [19 Afshar, Gonzalez, Grumiller][19 Vassilevich]

Effective two-dimensional theory

Dimensional reduction of Einstein-Maxwell theory on S^2 :

$$I_{4D} = \frac{1}{16\pi G} \int d^4x \sqrt{-g} \left(\mathcal{R}^{(4)} - 2\Lambda_4 - F_{\mu\nu} F^{\mu\nu} \right)$$

$$ds_4^2 = g_{\mu\nu}^{(4)} x^\mu x^\nu = \frac{\Phi_0}{\Phi} g_{ab} dx^a dx^b + \Phi^2 (d\theta^2 \sin^2 \theta d\phi^2)$$

$$F = F_{ab} x^a \wedge x^b$$

$$I_{2D} = \frac{1}{4G_4} \int d^2x \sqrt{g^{(2)}} \Phi^2 \left(\mathcal{R}^{(2)} + \frac{2\Phi_0}{\Phi^3} - 2\Lambda_4 \frac{\Phi_0}{\Phi} - \frac{\Phi}{\Phi_0} F_{ab} F^{ab} \right)$$

- ▶ $\Phi =$ dilaton, scalar field parametrizing the size of the 2-sphere
- ▶ $F_{\mu\nu}$ purely electric
- ▶ The 2D system that we obtain shares many features with JT gravity

Effective two-dimensional theory

Link between 4D and 2D language:

Extremal NH background = constant IR background:

$$\Phi(x) = \Phi_0, \quad g_{ab} = \bar{g}_{ab}, \quad A_a = \bar{A}_a.$$

$\Phi(x) = \Phi_0$ means:

- ▶ Constant radius of the 2D sphere
- ▶ Constant curvature of the 2D manifold \mathcal{M}_2 :

$$\mathcal{R}_0^{(2)} = -\frac{2}{\ell^2} = -\frac{2}{\Phi_0^2}(1 - 2\Lambda_4\Phi_0^2) \begin{cases} \Phi_0^2 < \frac{1}{2\Lambda_4} \Rightarrow \text{AdS}_2 \\ \Phi_0^2 > \frac{1}{2\Lambda_4} \Rightarrow \text{dS}_2 \\ \Phi_0^2 = \frac{1}{2\Lambda_4} \Rightarrow \text{Mink}_2 \end{cases}$$

Near-Extremal NH configuration = perturbations IR background

$$\Phi(x) = \Phi_0 + \lambda\mathcal{Y}(x), \quad g_{ab} = \bar{g}_{ab} + \lambda h_{ab}, \quad A_a = \bar{A}_a + \lambda\mathcal{A}_a.$$

Effective two dimensional theory

- ▶ Solutions to the equations of motion of this 2D JT-like system are solutions of the 4D system as well

Strategy:

- ▶ Solve 2D equations for the three different near-extremal systems (Cold, Nariai & Ultracold) and compute 2D on-shell action (Cold & Ultracold)
- ▶ Match 2D and 4D thermodynamic behaviour

Cold

IR background is the locally AdS₂ solution, in radial gauge

$$\bar{g}_{ab}dx^a dx^b = d\rho^2 + \gamma_{TT}dT^2, \quad \gamma_{TT} = -\left(\alpha(T)e^{\rho/\ell_A} + \beta(T)e^{-\rho/\ell_A}\right)$$

has a horizon at $\gamma_{TT}(\rho = \rho_h) = 0 \rightarrow$ 2D black hole with associated temperature and entropy:

$$T_{2D} = \frac{1}{2\pi} \partial_\rho \sqrt{\gamma}|_{\rho=\rho_h}, \quad S_{2D} = \pi \Phi(x)_{horizon}^2 = \pi \Phi_0^2 + 2\pi \Phi_0 \lambda \mathcal{Y}(x)|_{horizon}$$

Contact with 4D thermodynamics:

Upon identification of $\Phi_0 = r_0$ and $M_{gap}^{cold} = 1/2\pi^2 \ell_A^2 \Phi_0$,

$$T_+ = \frac{\lambda}{\ell_A^2} T_{2D}, \quad S_+ = S_{2D}$$

Ultracold

IR background is Mink_2 , in Eddington-Finkelstein coordinates

$$\bar{g}_{ab} dx^a dx^b = -2(\mathcal{P}(u)r + \mathcal{T}(u)) du^2 - 2du dr .$$

We specify to static solutions, along the lines of [Godet, Marteau '21][Grumiller, Ruzziconi, Zwickel '22],

$$\mathcal{P}(u) = \mathcal{P}_0 , \quad \mathcal{T}(u) = \mathcal{T}_0 .$$

- ▶ Dilaton independent from background metric at fixed charge, different from AdS_2 case. Strange interplay between deformations of dilaton and heating up Mink_2 . Same solutions found from [‘21 Godet, Marteau] in \widehat{CGHS} models, we impose the same boundary conditions for the JT field

Holographic renormalization

2D renormalized on-shell action for constant \mathcal{P}_0 and \mathcal{T}_0 :

$$I_{2D,UC} = -2\pi\Phi_0 b_0 \lambda + I_{global}^1$$

We extract the entropy

$$S_{2D} = \beta \left(\frac{\partial I}{\partial \beta} \right) - I \quad \Rightarrow \quad S_{2D} = -I_{2D,UC}$$

\Rightarrow The temperature does not affect the on-shell action! In agreement with the 4D behaviour found for ultracold.

¹ I_{global} is the value of the integral evaluated at the horizon.

Kerr black holes

- ▶ Characterized by a more complicated metric, no spherical symmetry
- ▶ Kerr BHs in 3+1d Minkowski: JT gravity description of near-extremal dynamics

[¹⁹ U. Moitra, S. K. Sake, S. P. Trivedi, V. Vishal]

[²⁰ V. Godet, C. Marteau]

[²⁰ A. Castro, V. Godet]

[²¹ A. Castro, V. Godet, J. Simón, W. Song, B. Yu]

- ▶ Can we extend the analysis also to Kerr-de Sitter?
- ▶ Anti de Sitter: NH geometry, perturbations above extremality and holographic renormalization

Kerr black holes in de Sitter

Rotating BHs in a spacetime with a positive cosmological constant ($\Lambda > 0$):

$$S = \frac{1}{16\pi G} \int d^4x \sqrt{-g} (R - 2\Lambda),$$

$$ds^2 = -\frac{\Delta_r}{\rho^2} \left(du - \frac{a}{\Xi} \sin^2 \theta d\phi \right)^2 + 2dudr - \frac{2a \sin^2 \theta}{\Xi} dr d\phi \\ + \frac{\rho^2}{\Delta_\theta} d\theta^2 + \frac{\Delta_\theta}{\rho^2} \sin^2 \theta \left(adu - \frac{r^2 + a^2}{\Xi} d\phi \right)^2$$

$$\Delta_r = (r^2 + a^2) \left(1 - \frac{r^2}{\ell^2} \right) - 2mr, \quad \Delta_\theta = 1 + \frac{a^2}{\ell^2} \cos^2 \theta,$$

$$\rho^2 = r^2 + a^2 \cos^2 \theta, \quad \Xi = 1 + \frac{a^2}{\ell^2}$$

- ▶ Horizons at $\Delta_r = 0 \Rightarrow r = \{r_-, r_+, r_c\}$
- ▶ Three extremal solutions: **Cold**, **Nariai**, ultracold

[2009 T. Hartman, K. Murata, T. Nishioka, A. Strominger]

[10 D. Anninos, T. Anous]

[10 D. Anninos, T. Hartman]

Kerr-dS₄

Extremal solutions still have 3 different NH geometries:

$$ds^2 = \Gamma(\theta) \left(\tilde{g}_{ab} dx^a dx^b + \alpha(\theta) d\theta^2 \right) + \gamma(\theta) (d\phi + krdu)^2$$

$$\tilde{g}_{ab} dx^a dx^b = \begin{cases} -r^2 du^2 + 2dudr, & \text{cold} & \rightarrow & \text{AdS}_2 \\ -du^2 + 2dudr, & \text{ultracold} & \rightarrow & \text{Mink}_2 \\ r^2 du^2 + 2dudr, & \text{Nariai} & \rightarrow & \text{dS}_2 \end{cases}$$

- ▶ Similar phase-space diagram (M, J) with a finite region of admitted physical solutions as RNdS₄
- ▶ Similar thermodynamics as RNdS₄, with M_{gap} for Cold and Nariai

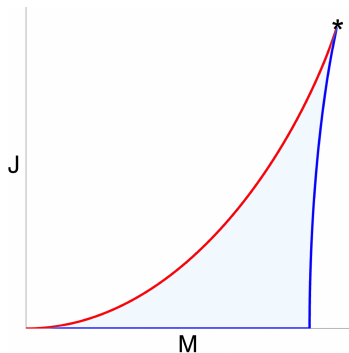
Thermodynamics and phase-space diagram

$$T_h = \frac{|\Delta'_r(r_h)|}{4\pi r_h^2} = \frac{r_h^2 - a^2 + 2r_h^4/\ell^2}{2\pi r_h(r_h^2 + a^2)},$$

Fixed angular momentum: $\delta J = 0$:

$$M_{\text{cold}} = M_0 + \frac{T_+^2}{M_{\text{gap}}^{\text{cold}}} + \dots, \quad M_{\text{gap}}^{\text{cold}} > 0, \quad S_+ = S_0 + \frac{2T_+}{M_{\text{gap}}^{\text{cold}}} + \dots$$

$$M_{\text{Nariai}} = M_n + \frac{T_n^2}{M_{\text{gap}}^{\text{n}}} + \dots, \quad M_{\text{gap}}^{\text{n}} < 0, \quad S_n = S_c + \frac{2T_c}{M_{\text{gap}}^{\text{n}}} + \dots$$



Gravitational perturbations of Kerr-dS₄

Goal:

- ▶ Understand whether a JT mode is responsible for deviations away from extremality also for Kerr-dS₄ BHs

Strategy:

- ▶ Consider gravitational perturbations around extremal NHEK background (Cold, Nariai, Ultracold)
- ▶ Solve linearized Einstein's equations
- ▶ See if one of the modes perturbing the NH geometry satisfies a JT-like equation

Linearized Einstein's equations

Perturb the background extremal metric by looking at higher orders contributions in the NH geometry [20 V. Godet, C. Marteau]:

$$ds_{\text{Kerr}}^2 \xrightarrow{\text{Dec. limit}} \bar{g}_{\mu\nu, \text{NHEK}} dx^\mu dx^\nu + \lambda h_{\mu\nu} dx^\mu dx^\nu + \dots$$

- ▶ $h_{\mu\nu} dx^\mu dx^\nu$ is the $\mathcal{O}(\lambda)$ contribution to the NH geometry
- ▶ We will perturb the extremal metric with an **ansatz** that is motivated by the NH geometry:

$$ds^2 = \left(\Gamma(\theta) + \epsilon \chi(u, r) \right) \left((\kappa r^2 + r \mathcal{P}(u) + \mathcal{T}(u)) du^2 + \epsilon \psi(u, r) du^2 + \alpha(\theta) d\theta^2 \right) \\ + (2\Gamma(\theta) + \epsilon \eta(u, r) \sin^2 \theta) dudr + \Gamma(\theta) \gamma(\theta) \left(\frac{1 + \epsilon \Phi(u, r)}{\Gamma(\theta) + \epsilon \chi(u, r)} \right) (d\phi + kr du + \epsilon A)^2$$

Linearized Einstein's equations

Ansatz for the perturbed metric, motivated by geometric considerations [20 V. Godet, C. Marteau]:

$$ds^2 = \left(\Gamma(\theta) + \epsilon\chi(u, r)\right) \left((\kappa r^2 + r\mathcal{P}(u) + \mathcal{T}(u)) du^2 + \epsilon\psi(u, r)du^2 + \alpha(\theta)d\theta^2 \right) \\ + (2\Gamma(\theta) + \epsilon\eta(u, r) \sin^2 \theta) dudr + \Gamma(\theta)\gamma(\theta) \left(\frac{1 + \epsilon\Phi(u, r)}{\Gamma(\theta) + \epsilon\chi(u, r)} \right) (d\phi + krdu + \epsilon A)^2$$

$$\kappa = \begin{cases} -1, & \text{cold} \\ 0, & \text{ultracold} \\ +1, & \text{Nariai} \end{cases}$$

- ▶ Perturbations parametrized in terms of the fields χ , η , Φ and ψ and the Gauge field A :

$$A(u, r, \theta) = A_u(u, r, \theta)du + A_r(u, r, \theta)dr$$

- ▶ Dynamics is however dictated solely by the dilaton field Φ if we impose conditions to avoid conical singularities:

$$\chi \propto \Phi, \quad \eta \propto \Phi, \quad \psi \propto r^2\Phi$$

Linearized Einstein's equations

Look for solutions of linearized Einstein's equations:

$$R_{\mu\nu} - \Lambda g_{\mu\nu} = 0, \quad R_{\mu\nu} = R_{\mu\nu}^{(0)} + R_{\mu\nu}^{(\epsilon)}, \quad g_{\mu\nu} = g_{\mu\nu}^{(0)} + g_{\mu\nu}^{(\epsilon)}$$

From $R_{\mu\nu}^{(\epsilon)} - \Lambda g_{\mu\nu}^{(\epsilon)} = 0 \rightarrow$ solutions for the modes and for the Gauge field:

$$\Phi(u, r) = r\phi_1(u) + \phi_0(u)$$

$$\square_2 \chi = -2\kappa \chi$$

$\square_2 \equiv$ Laplacian on the 1+1d metrics

$$g_{ab} dx^a dx^b = (\kappa r^2 + r\mathcal{P}(u) + \mathcal{T}(u)) du^2 + 2dudr$$

From $R_{t\phi}^{(\epsilon)} - \Lambda g_{t\phi}^{(\epsilon)} = R_{tt}^{(\epsilon)} - \Lambda g_{tt}^{(\epsilon)} = 0 \rightarrow$ **JT equations:**

$$\boxed{(\nabla_a \nabla_b - g_{ab} \square) \Phi - \kappa g_{ab} \Phi = 0}$$

Conclusions & future outlook

- ▶ Our work shows that the dynamics of de Sitter black holes is classically described by JT gravity
 - For RNdS_4 dimensional reduction and analysis of 2D system
 - For Kerr-dS_4 gravitational perturbations around extremal 4D metric
- ▶ Generalization to Kerr-AdS_4 & holographic renormalization (work in progress)
- ▶ Introduction of quantum corrections

Thank you!