Aspects of near-extremal black holes

Joan Simón

University of Edinburgh and Maxwell Institute of Mathematical Sciences

Workshop on Near-extremal black holes Solvay Institute, September 4, 2024

based on 2010.05932 with Burke, Gair, Edwards and 2102.08060 with Castro, Godet, Song, Yu

What is this talk about ?

Part I

Deriving Schwarzian dynamics for small BHs

Part II

Identifying the JT mode for near-extremal Kerr

Part III

Comparing the precision in spin measurements, using gravitational waves, between near-extremal and non-extremal Kerr BHs

Part I

Small BHs vs Schwarzian dynamics

3

Universality of Schwarzian dynamics

Regular extremal BHs with isometry $\mathbb{R} \times \mathrm{U}(1)^{d-3}$ have near horizon geometry [Kunduri, Lucietti, Reall]

$$ds^{2} = \Gamma(\theta)[\bar{g}_{AdS_{2}} + d\theta^{2} + \gamma_{ab}(\theta)(d\varphi^{a} + e^{a}\rho dt)(d\varphi^{b} + e^{b}\rho dt)]$$

Near-AdS₂ perspective

The AdS₂ throat can be glued to the full BH, suggesting

 $r = r_{+} + \Phi_{\rm JT}(t, r), \qquad \Phi_{\rm JT}(t, r) \ll r_{+} \qquad (+ \text{ dimensional reduction})$

In simple and symmetric models $I_{
m EH} o I_{
m JT} + \dots$ [Almheiri, Polchinski]

• Low energy \sim JT gravity [Maldacena, Stanford, Yang]

A paradigmatic example

[Ghosh, Maxfield, Turiaci]



<u><u> </u></u>	(
Sumon I	Edupburgh

э

A D N A B N A B N A B N

Small near-extremal BHs

 \exists BHs whose area decreases by shrinking a circle direction along ∂_{arphi}

- Close to the horizon $r o \epsilon r, \ \epsilon o 0 \ \Rightarrow \ \gamma_{\varphi\varphi} \sim \gamma(\theta) \ r^2$
- Smoothness requires $\gamma(\theta) = \Gamma(\theta)$
- near horizon geometry

$$ds^{2} = \Gamma(\theta) \left(\epsilon^{2} r^{2} (-dt^{2} + d\varphi^{2}) + \frac{dr^{2}}{r^{2}} \right) + ds_{\perp}^{2}$$

locally AdS_3

Broader perspective



BTZ intuition

Some thermodynamic formulas

$$M = \frac{r_{+}^{2} + r_{-}^{2}}{8G_{N}\ell_{3}} \qquad J = \frac{r_{+}r_{-}}{4G_{N}\ell_{3}}$$
$$S_{\rm BTZ} = \frac{\pi}{2G_{N}}r_{+} \qquad T_{\rm BTZ} = \beta^{-1} = \frac{r_{+}^{2} - r_{-}^{2}}{2\pi\ell_{3}r_{+}}$$

Two parameters

- dimensionless *horizon size* in ℓ_3 units : $\frac{r_+}{\ell_3} = \varepsilon$
- *near-extremal* parameters : $r_{-} = r_{+} \sqrt{1 \alpha}$

3

(日)

Small & near-extremal BHs

Triple scaling limit

$$\varepsilon \ll 1, \qquad \alpha \ll 1, \qquad \mathbf{c} \to \infty$$

Some relevant scales

$$\begin{split} S_{\rm BTZ} &\sim \varepsilon \, c \,, \qquad M, \, J \sim \varepsilon^2 \, c \\ T_{\rm BTZ} &\sim \varepsilon \, \alpha \,, \qquad M - J \sim (\varepsilon \alpha)^2 \, c \end{split}$$

Sub-AdS scale BHs

• Parameterically large M, J $\Rightarrow \varepsilon \sim \frac{1}{\sqrt{c}}$

• Large entropy
$$\Rightarrow \varepsilon c \gg 1$$

•
$$M - J \sim \alpha^2 \ll 1$$

< □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > <

2d CFT analysis

Follow [Ghosh, Maxfield, Turiaci]

$$eta_{ ext{L}} = (1+\Omega)eta pprox 2eta \gg eta_{ ext{R}} = (1-\Omega)eta pprox rac{lpha}{2}eta \sim rac{1}{arepsilon} \sim rac{c}{S_{ ext{BTZ}}} \gg 1$$

Dominant CFT partition function

$$Z_{\rm CFT}(\beta,\theta) \approx (2\pi)^2 \left(\frac{2\pi}{\beta_{\rm L}}\right)^{3/2} \left(\frac{2\pi}{\beta_{\rm R}}\right)^{3/2} \exp\left[\frac{\beta_{\rm L}+\beta_{\rm R}}{24} + (2\pi)^2 \frac{c-1}{24} \left(\frac{1}{\beta_{\rm L}} + \frac{1}{\beta_{\rm R}}\right)\right]$$

• Fixed J-ensemble

$$Z_{
m J} \propto \int d heta \, e^{i heta \, J} \, \exp\left[rac{eta}{12} + rac{(2\pi)^2(c-1)}{12} rac{eta}{eta^2 + heta^2} - rac{3}{2} \log(eta^2 + heta^2)
ight]$$

э

A D N A B N A B N A B N

CFT saddle

Saddle equation

$$iJ - (2\pi)^2 \frac{c-1}{6(\beta_{\rm L}\beta_{\rm R})^2} \frac{\beta_{\rm R}^2 - \beta_{\rm L}^2}{4i} - \frac{3}{2i} \frac{\beta_{\rm R} - \beta_{\rm L}}{\beta_{\rm R}\beta_{\rm L}} = 0$$

Large entropy guarantees 2nd term \gg 3rd term

$$rac{ceta_{
m R}^{-2}}{eta_{
m R}^{-1}}\simrac{c}{eta_{
m R}}\sim\epsilon\,c\gg1$$

Same saddle, different regime of parameters :

$$\beta_{\rm R} \approx 2\pi \sqrt{\frac{c}{24J}}$$

Evaluation of the saddle

$$Z_{\rm J} \approx \frac{\pi}{2\sqrt{2}} \left[\left(\frac{\pi}{\beta}\right)^{3/2} \exp\left[\frac{\pi^2 c}{12\beta}\right] \right] \left(\frac{c}{6J^3}\right)^{1/4} \exp\left[-\beta \left(J - \frac{1}{12}\right) + 2\pi \sqrt{\frac{cJ}{6}}\right]$$
$$\propto Z_{\rm Schwarzian} \exp\left[-\beta E_0 + S_{\rm BTZ} - \frac{3}{2}\log S_{\rm BTZ}\right]$$

Simón (Edinburgh)

September 2024 11 / 35

э

イロト イポト イヨト イヨト

Validity of CFT saddle

Validity of vacuum dominance (individual state $h = \overline{h} - J$)

$$\begin{split} R_{\rm h} &\equiv \frac{\chi_h(2\pi i/\beta_{\rm L}) \, \chi_{\overline{h}}(2\pi i/\beta_{\rm R})}{\chi_{\mathbb{I}}(2\pi i/\beta_{\rm L}) \, \chi_{\mathbb{I}}(2\pi i/\beta_{\rm R})} \\ &\approx \frac{1}{(2\pi)^4} \, \exp\left[-(2\pi)^2 \left(-\frac{J}{\beta_{\rm L}} + \overline{h} \, \left(\frac{1}{\beta_{\rm L}} + \frac{1}{\beta_{\rm R}}\right) - \frac{1}{(2\pi)^2} \log(\beta_{\rm L}\beta_{\rm R})\right)\right] \end{split}$$

requires

$$\boxed{rac{ar{h}_{ ext{gap}}}{eta_{ ext{R}}} - rac{J}{eta_{ ext{L}}} - rac{1}{(2\pi)^2}\log(eta_{ ext{L}}eta_{ ext{R}}) \gg 1}$$

- $\beta_{\rm L} \sim c$ [Ghosh, Maxfield, Turiaci] $\Rightarrow lpha \sim \frac{1}{\sqrt{c}}$
- $ar{h}_{ ext{gap}}\sim\mathcal{O}(c)$ in 3d pure gravity \Rightarrow \exists consistent regime
- less clear in a generic 2d CFT

< □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > <

Part II

Identifying the JT mode for near-extremal Kerr black holes

<u> </u>	
Simon /	Edupburgh
	LUIIDIIPI

3

イロン イ理 とくほとう ほんし

JT mode in near-extremal Kerr

Given a near-extremal Kerr metric, whose near horizon limit

$$\tilde{r} = r_+ + \lambda r$$
, $\tilde{t} = 2r_+^2 \frac{t}{\lambda}$, $\tilde{\phi} = \phi + r_+ \frac{t}{\lambda}$, $\lambda \to 0$

leads to the NHEK geometry

$$ds_{\rm NHEK}^2 = J(1+\cos^2\theta) \left[-r^2 dt^2 + \frac{dr^2}{r^2} + d\theta^2 \right] + J \frac{4\sin^2\theta}{1+\cos^2\theta} \left[d\phi + r dt \right]^2$$

Questions

- \bullet how do we identify $\Phi_{\rm JT}$ when spherical symmetry is broken ?
- how is $\Phi_{\rm JT}$ compatible with Wald's theorem ?

Simón	(Edinburgh)	
	· · · · · · · · · · · · · · · · · · ·	

A B A A B A

How do we identify $\Phi_{_{\rm JT}}$?

Strategy

Expand NHEK & work at linear order in perturbation h

 $g = g_{\text{NHEK}} + h$

in some specific gauge

2 Study sphere harmonics in detail & compare with gauge invariant quantities, such as Weyl scalars Ψ_0 , Ψ_4 used in gauge invariant Teukolsky formalism

イロト イポト イヨト イヨト

Axisymmetric NHEK perturbations

$$ds^{2} = J\left(1 + \cos^{2}\theta + \epsilon\chi(\mathbf{x},\theta)\right) \left[g_{ab}dx^{a}dx^{b} + d\theta^{2}\right] + 4J\frac{\sin^{2}\theta}{1 + \cos^{2}\theta + \epsilon\chi(\mathbf{x},\theta)} \left(d\phi + A_{a}dx^{a} + \epsilon\mathcal{A}\right)^{2} + O(\epsilon^{2})$$

At linear order in ϵ , *h* is determined by

$$\Box_2 \chi + \frac{\sin^3 \theta}{\cos \theta} \partial_\theta \left(\frac{\cos^2 \theta}{\sin^3 \theta} \partial_\theta \left(\frac{\chi}{\cos \theta} \right) \right) = 0$$

Eigen-mode expansion

$$\chi(x, heta) = \sin^2 heta \, \sum_{\ell} S_{\ell}(heta) \chi_{\ell}(x) \; ,$$

- $S_\ell \sim$ associated Legendre polynomials with $\ell \geq 2$
- $\chi_{\ell}(x)$ satisfy the AdS₂ wave equation

$$\Box_2 \chi_\ell = \ell (\ell + 1) \chi_\ell$$

Tower of AdS_2 modes with $\Delta = \ell + 1 \geq 3$

$\ell \geq 2 \text{ modes}$

Matching ingoing/outgoing modes in Teukolsky formalism

 δg in IRG [ingoing radiation gauge] \Leftrightarrow Hertz potential Ψ_{H_0} Using (I^a, n^a) Newman-Penrose tetrads

• Relating $\chi(\mathbf{x}, \theta)$ and $\Psi_{{}_{\mathrm{H}_{0}}}$ for $\ell \geq 2$

$$\chi(\mathbf{x}, heta) = -\sin^2 heta \, I^a I^b
abla_a
abla_b \Psi_{ extsf{H}_0}(\mathbf{x}, heta)$$

• Inversely, if $\Psi_{\mathrm{H}_0}(\mathbf{x},\theta) = \sum_{\ell \geq 2} U_\ell(\mathbf{x}) S_\ell(\theta)$

$$U_{\ell}(\mathbf{x}) = -\frac{4}{(\ell-1)\ell(\ell+1)(\ell+2)} \boldsymbol{n}^{\boldsymbol{a}} \boldsymbol{n}^{\boldsymbol{b}} \nabla_{\boldsymbol{a}} \nabla_{\boldsymbol{b}} \chi_{\ell}(\mathbf{x})$$

Conclusion : Normalizable $\ell \ge 2$ modes in one-to-one correspondence with ingoing/outgoing modes in Teukolsky formalism

Where is Φ_{JT} ?

Hints

- There are no associated Legendre polynomials with $\ell = 0, 1$. However, these values are allowed by the AdS₂ Breitenlohner-Freedman bound
- More precisely, $\exists \, \mathcal{S}_\ell(heta)$ for $\ell=1,0$
 - non-normalizable on the 2-sphere
 - have conical-like singularities at either north/south poles

• Both Ψ_0 and Ψ_4 diverge at these singularities \Rightarrow infinite energy flux

Observation

Require the energy flux to vanish, while keeping the $\ell = 1,0$ modes

• $\ell=1$: $\Psi_0=\Psi_4=0$ + AdS_2 wave equation implies

$$abla_a
abla_b \chi - g_{ab} \Box_2 \chi + g_{ab} \chi = 0 \qquad \text{JT equations !!}$$

• $\ell=0$: $\Psi_0=\Psi_4=0$ + AdS_2 wave equation \Rightarrow zero mode

イロト 不得 トイヨト イヨト 二日

What about the singularity ?

 $\ell=1$ modes with vanishing $\Psi_0=\Psi_4=0$ \Rightarrow $\chi_1(x)$ satisfies JT eqs

• our NHEK perturbation remains singular

Hint & Suggestion

- h was written in a particular gauge
- can we apply a diffeo to describe *h* as an smooth perturbation ?
 - can we find a *singular* diffeomorphism that allows to remove the singularity in our gauge ?

< □ > < □ > < □ > < □ > < □ > < □ >

Balancing the conical-like singularity

① Since ϕ is periodic, any diffeomorphism of the form

$$\xi^{\mu}(x, heta,\phi)=rac{\epsilon}{2}\phi\,\zeta^{\mu}(x, heta)$$

will be *non-single valued* (generating a conical-like singularity)Requiring the action of the diffeo to be axisymmetric

$$\partial_{\phi}(\mathcal{L}_{\xi}g_{\text{NHEK}}) = 0 \quad \Rightarrow \quad \zeta(x,\theta) \text{ Killing}$$

Solution g_{NHEK} (including g_{NHEK})

$$ds^{2} = \Lambda(\theta)(g_{AdS_{2}} + d\theta^{2}) + \Gamma(\theta)(d\phi + A_{a}dx^{a})^{2}$$

are of the form

$$\zeta = \varepsilon^{ba} \nabla_b \Phi_{\zeta} \partial_a + (\Phi_{\zeta} + \varepsilon^{ab} A_a \nabla_b \Phi_{\zeta}) \partial_{\phi} \quad \text{with} \quad \Phi_{\zeta} \equiv c_{(\phi)} + \Phi_{JT}$$

Balancing the conical-like singularity

- Killing vectors ζ are in one-to-one correspondence with a scalar field Φ_{JT}(x) solving the JT equations of motion (ℓ = 1 mode) and a constant zero mode c_(φ) (ℓ = 0 mode).
 - $\Phi_{JT}(x)$ is non-dynamical (it is a Killing vector field !!)
 - however, the diffeomorphism ξ is *physical*, it generates a conical-like singularity carrying energy !!

Inning the diffeomorphism, i.e. choosing

$$\chi(x, heta) = \Phi_{
m JT}(x) + rac{1}{2}(1+\cos^2 heta) c_{(\phi)}$$

gives rise to smooth $\ell = 1, 0$ perturbations

 $g = g_{\text{NHEK}} + h + \mathcal{L}_{\zeta} g_{\text{NHEK}}$

where the scalar controlling the perturbation satisfies the JT eqs

Simón ((Edinburgh)
0	Lamba Bu)

< ロ > < 同 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ >

Consistency with Wald's theorem

Wald's theorem

Smooth $\delta \tilde{g}$ in full Kerr with vanishing Weyl scalars must satisfy

$$\delta \tilde{g} = \delta_M \tilde{g} + \delta_J \tilde{g} + \epsilon \, \mathcal{L}_{\tilde{\xi}} \tilde{g}$$

In 2102.08060, we proved

• all such finite perturbations correspond in the $\lambda \rightarrow 0$ to our smooth $\ell = 0, 1$ NHEK perturbations (up to diffeos)

$$\chi(\mathbf{x}, \theta) = \Phi_{\text{JT}}(\mathbf{x}) + \frac{1}{2}(1 + \cos^2 \theta) c_{(\phi)}$$

- the identification crucially depends on $\delta M \sim \lambda^n \epsilon$
- singular $\ell=0$ NHEK perturbations give rise to Taub-Nut and/or C-metric deformations
- $\exists \ \delta_M \tilde{g} = \delta_J \tilde{g} = 0$ and $\mathcal{L}_{\tilde{\xi}} \tilde{g} \neq 0$ Kerr diffeos, but not a NHEK one

A B A B A B A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A

Part III

Precision in spin measurements using gravitational waves

C	
Simon	Fainniirgn
ennen j	(Lambargr)

2

イロン イ理 とくほとう ほんし

Precision in spin measurement

Before the lockdown, I was asked

- Can the spin of a near-extremal Kerr black hole (BH) be measured with higher accuracy than for non-extremal BHs using gravitational waves ?
- 2 Either way, can you (ideally quantitatively) explain why ?

Context

Binary black hole (BH) system with masses $M\gg\mu$ $(\eta=\mu/M\ll1)$

 Approximate the motion of the secondary BH (μ) as a point particle inspiraling towards the primary BH (M) with outer horizon (EMRI)

$$r_{+} = M\left(1 + \sqrt{1 - a^2}\right) \equiv M\left(1 + \epsilon\right)$$
 $a = \hat{a}/M$

• Consider circular orbits (absence of radiation)

$${m E}(ilde{r},{m a})=\mu\,rac{1-2/ ilde{r}+{m a}/ ilde{r}^{3/2}}{\sqrt{1-3/ ilde{r}+2{m a}/ ilde{r}^{3/2}}}$$
 with $ilde{r}=r/M$

• Due to energy conservation ($E + E_{\scriptscriptstyle \mathrm{GW}} = \mathsf{const}$)

$$\frac{dE}{dt} = \partial_r E \, \frac{dr}{dt} = -\dot{E}_{\rm GW}$$

Image: A matrix

Perturbative expansion

Define $\tilde{E} = E/\mu$, $\tilde{t} = t/M$, the inwards spiral trajectory

$$\frac{d\tilde{E}}{d\tilde{t}} = \partial_{\tilde{r}}\tilde{E}\frac{d\tilde{r}}{d\tilde{t}} = -\frac{1}{\eta}\dot{E}_{\rm GW}(\tilde{r}, \mathbf{a}) = -P_{\rm GW},$$

• $\dot{E}_{\rm GW} \sim \mathcal{O}(\eta^2)$ is energy rate carried away by gravitational waves

- Computed by first principles solving Teukolsky's equation in the presence of the source (μ)
- $\Rightarrow P_{\rm GW} \sim \mathcal{O}(\eta)$
- Spiral trajectory contains two pieces of information
 - kinematic : geodesic information $(\partial_{\tilde{r}}\tilde{E})$
 - 2 dynamic : Teukolsky's equation $[\dot{E}_{GW}(\tilde{r}, a)]$

Fisher matrix for gravitational waves

The spin precision Δa is given by

$$\Delta a = \sqrt{(\Gamma^{-1})_{aa}} \quad \text{with} \quad \Gamma_{aa} = 4 \int df \frac{|\partial_a \tilde{h}(f)|^2}{S_n(f)} \,,$$

h(f) is the Fourier transformed of the amplitude in the gravitational wave

$$h(t) = \sum_{m} h_{m}(t) \approx \sum_{m} \frac{2\sqrt{\dot{E}_{m}^{\infty}}}{m\tilde{\Omega}\tilde{D}} \sin(m\tilde{\Omega}\tilde{t}),$$

• $S_n(f)$, power spectral density (PSD) : describes the LISA noise.

Estimating the Fisher matrix

• Assume $S_n(f) \approx S_n(f_\circ)$ (standard in relevant literature) and use Parseval

$$|\partial_a h(t)|^2 = \sum_m (\partial_a h_m)^2 + 2 \sum_{n < m} \partial_a h_n \partial_a h_m,$$

• Consider "diagonal terms"

$$\begin{split} \partial_a h_m(t) &= |h_m(t)| \left\{ \sin(m\tilde{\Omega}\tilde{t}) \mathcal{B}_m + (m\tilde{t}\partial_a\tilde{\Omega}) \cos(m\tilde{\Omega}\tilde{t}) \right\} \\ \mathcal{B}_m &\equiv \frac{\partial_a \dot{E}_m^\infty}{2\dot{E}_m^\infty} - \frac{\partial_a \tilde{\Omega}}{\tilde{\Omega}} \\ (\partial_a h_m)^2 &= \frac{|h_m|^2}{2} \left\{ (m\tilde{t} \partial_a \tilde{\Omega})^2 + (\mathcal{B}_m)^2 \\ &+ \cos(2m\tilde{\Omega}\tilde{t}) \left[(m\tilde{t} \partial_a \tilde{\Omega})^2 - (\mathcal{B}_m)^2 \right] \\ &+ 2 \sin(2m\tilde{\Omega}\tilde{t}) \mathcal{B}_m (m\tilde{t} \partial_a \tilde{\Omega}) \right\} . \end{split}$$

э

3 × < 3 ×

Image: A matrix

Approximation

- EMRI physics $\Rightarrow P_{GW} \sim \eta \ll 1 \Rightarrow \tilde{t} \sim \eta^{-1}$ Dominant contribution from the $\mathcal{O}(\tilde{t}^2)$
- More precisely, we assume (numerically checked)

$$\left|\frac{\partial_{a}\dot{E}_{\infty2}}{\tilde{t}\,\dot{E}_{\infty2}}\right|\ll\partial_{a}\tilde{\Omega}$$

- the time integral is over long periods \Rightarrow oscillatory terms would be subleading (anyway)
- similar arguments hold for off-diagonal terms

Fisher matrix estimation

Since $\tilde{\Omega}^{-1} = \tilde{r}^{3/2} + a$, it follows

$$\partial_{a}\tilde{\Omega} = -\tilde{\Omega}^{2}\left(1+rac{3}{2}\sqrt{\tilde{r}}\,\partial_{a}\tilde{r}
ight)$$

Hence,

$$\Gamma_{aa} \approx \frac{16M}{\tilde{D}^2 S_n(f_{\rm o})} \int_{\tilde{t}_0}^{\tilde{t}_{\rm cut}} d\tilde{t} \, \dot{E}_{\infty 2} \, (\tilde{\Omega}\tilde{t})^2 \, \left(1 + \frac{3}{2} \sqrt{\tilde{r}} \, \partial_a \tilde{r}\right)^2$$

or, in radial coordinate (using the spiral equation)

$$\Gamma_{aa} \approx \frac{16\mu}{(\eta \tilde{D})^2 \, S_n(f_{\rm o})} \int_{\tilde{r}_{\rm cut}}^{\tilde{r}_0} d\tilde{r} \left(\partial_{\tilde{r}} \tilde{E}\right) (\eta \tilde{t} \, \tilde{\Omega})^2 \, \left(1 + \frac{3}{2} \sqrt{\tilde{r}} \, \partial_a \tilde{r}\right)^2 \, .$$

Simón ((Edinburgh)	١

< □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > <

Spin dependence on the trajectory

Define $u = \partial_a \tilde{r}$

• Remember the inwards spiral equation

$$\partial_{\tilde{r}} \tilde{E}(\tilde{r},a) \, rac{d\tilde{r}}{d\tilde{t}} = -P_{\mathrm{GW}}(\tilde{r},a)$$

• Apply $\frac{d}{da}$, taking into account explicit and implicit dependence

$$\begin{split} & \frac{d}{da} \partial_{\tilde{r}} \tilde{E} = \left(\partial_{\tilde{r}}^2 \tilde{E} \right) u + \partial_{\tilde{a}\tilde{r}}^2 \tilde{E} \,, \\ & \frac{dP_{\rm GW}}{da} = \left(\partial_{\tilde{r}} P_{\rm GW} \right) \, u + \partial_{\tilde{a}} P_{\rm GW} \,. \end{split}$$

Using

$$\frac{du}{d\tilde{t}} = \frac{du}{d\tilde{r}}\frac{d\tilde{r}}{d\tilde{t}}\,,$$

one derives a linear ODE

$$\boxed{\frac{du}{d\tilde{r}} + \left(\frac{\partial_{\tilde{r}}^{2}\tilde{E}}{\partial_{\tilde{r}}\tilde{E}} - \frac{\partial_{\tilde{r}}P_{\rm GW}}{P_{\rm GW}}\right) u = -\frac{\partial_{\tilde{a}\tilde{r}}^{2}\tilde{E}}{\partial_{\tilde{r}}\tilde{E}} + \frac{\partial_{a}P_{\rm GW}}{P_{\rm GW}}}.$$

Simón (Edinburgh)

31 / 35

Two cases to keep in mind

1 Near-extremal and close to the extremal horizon $x \equiv \tilde{r} - 1 \ll 1$

 $P_{
m GW} = \eta ~ ilde{\mathcal{C}} \, {m{x}}$ [Gralla, Porfyriadis, Warburton]

On-extremal (generic Finn-Thorne parameterisation)

$$P_{\rm GW} = \frac{32}{5} \eta \,\tilde{\Omega}^{10/3} \,\dot{\mathcal{E}}$$

 $\dot{\mathcal{E}}$ relativistic corrections (computed numerically)

$$\partial_a \tilde{r} = \frac{1}{\mathcal{Q}} \left(k_0 - \int \mathcal{Q} \, \partial_a \log \mathcal{Q} \, d\tilde{r} \right), \quad \mathcal{Q} = \frac{\partial_{\tilde{r}} \tilde{E}}{\tilde{\Omega}^{10/3} \dot{\mathcal{E}}}.$$

with a source term allowing the decomposition

$$\mathcal{Q}\,\partial_{a}\log\mathcal{Q} = \frac{\partial_{\tilde{r}}\tilde{E}}{\tilde{\Omega}^{10/3}\dot{\mathcal{E}}}\left(\frac{\partial_{a\tilde{r}}^{2}\tilde{E}}{\partial_{\tilde{r}}\tilde{E}} - \frac{\partial_{a}\dot{\mathcal{E}}}{\dot{\mathcal{E}}} + \frac{10}{3}\tilde{\Omega}\right)$$

- ► First and third terms are *kinematic*, i.e., driven by geodesic physics
- Second term is *dynamical*, i.e., driven by the energy flux

Brief comparison

Let

- ϵ be near-extremal parameter
- ${ ilde r} { ilde r}_{
 m isco} \sim \delta$ coordinate distance to ISCO

Analytic estimates

$$\partial_{a}\tilde{r}\propto \begin{cases} rac{1}{\delta}, \ rac{\epsilon^{2/3}}{\delta(\delta+\epsilon^{2/3})^{2}}, \end{cases}$$

moderate spins

near-extremal spins

suggest the spin dependence in near-extremal Kerr is larger

Ratio of Fisher matrices

Ignoring angular velocity variation and including all modes

$$\Gamma_{aa} \approx 18 \frac{\mu}{(\eta \tilde{D})^2 \, S_n(f_\circ)} \, \tilde{r}_{\rm ext} \, \tilde{\Omega}_{\rm ext}^2 \sum_m \int_0^{\tilde{t}_{\rm cut}} d(\eta \tilde{t}) \frac{d\tilde{E}_m^\infty}{\eta d\tilde{t}} \, (\eta \tilde{t})^2 \, (\partial_a \tilde{r})^2.$$

Ratio of spin precisions

• Numerical evaluation (single Fisher parameter)

 $\frac{\Gamma_{aa}^{ext}}{\Gamma_{aa}^{mod}}\sim 500$

confirms our analytic estimates

Simon i	Edinburghi
	(

Conclusions

Part I

Small near-extremal BHs with sub-AdS scale local AdS_3 geometries may still be controlled by Schwarzian dynamics

Part II

- $\exists \ \ell = 1 \mbox{ smooth irrelevant } AdS_2 \mbox{ perturbations satisfying JT equations of motion}$
- When glued to asymptotically flat Kerr, it corresponds to a mass perturbation, in agreement with Wald's theorem
- Similar statements hold for $\ell = 0$ marginal AdS₂ deformations

Part III

- Analytic techniques to estimate Fisher matrices in EMRI set-ups
- Near-extremal Kerr BHs expected to have 2 orders of magnitude increase in the precision of spin using gravitational waves within an EMRI set-up compare to moderate spin ones

Simón (Edinburgh)